

Let  $\mathcal{F}_\gamma^* M := \sum_{\beta \leq \gamma} \mathcal{F}_\beta$ , then  $g\mathcal{F}^* M := \bigoplus \mathcal{F}_\gamma M / \mathcal{F}_\gamma^* M$  is an assoc.  $\Gamma$ -graded left-module over  $g\mathcal{F}^* A$ .

$$G_\alpha A \times G_\beta M \rightarrow G_{\alpha+\beta} M, (a + \mathcal{F}_\alpha^* A, m + \mathcal{F}_\beta^* M) \mapsto a \cdot m + \mathcal{F}_{\alpha+\beta}^* M.$$

Note: For a submodule  $N \subseteq M$ , there is an induced  $\Gamma$ -filtration

$$\{\tilde{\mathcal{F}}_\gamma N := \mathcal{F}_\gamma M \cap N \mid \gamma \in \Gamma\}, \quad \{\mathcal{F}_\gamma(M/N) := (\mathcal{F}_\gamma M + N) / N \mid \gamma \in \Gamma\}.$$

K-algebras  $A \xrightarrow{\psi} B$

$\Gamma$ -filtrations  $\mathcal{F}A \quad \tilde{\mathcal{F}}B$

$\psi$  is  $(\Gamma, \mathcal{F}, \tilde{\mathcal{F}})$ -filtered

$$\Leftrightarrow \psi(\mathcal{F}_\gamma A) \subseteq \tilde{\mathcal{F}}_\gamma B$$

$\Gamma$ -filtered modules

$$M \xrightarrow{\psi} N^{\tilde{\mathcal{F}}}$$

$\psi$  is  $\Gamma$ -filtered, if  $\psi(\mathcal{F}_\gamma M) \subseteq \tilde{\mathcal{F}}_\gamma N \quad \forall \gamma \in \Gamma$

$\psi$  is strict, if  $\psi(\mathcal{F}_\gamma M) = \psi(M) \cap \tilde{\mathcal{F}}_\gamma N \quad \forall \gamma \in \Gamma$ .

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Remark 3: Let  $N \subseteq M$  a submodule,  $\mathcal{F}M$  be a filtration on  $M$ , define  $\tilde{\mathcal{F}}N := \{\mathcal{F}_\gamma N := N \cap \mathcal{F}_\gamma M \mid \gamma \in \Gamma\}$  to be the induced filtration

$$\tilde{\mathcal{F}}(M/N) := \{\mathcal{F}_\gamma(M/N) := (\mathcal{F}_\gamma M + N) / N \mid \gamma \in \Gamma\}.$$

Then  $i: N \hookrightarrow M$ ,  $\pi: M \rightarrow M/N$  are strict  $\Gamma$ -filtered homomorphisms.

Proposition 4: Let  $\psi: M \rightarrow N$  be a  $\Gamma$ -filtered homomorphism. Then

$\text{Im}(\psi) \subseteq N$  is  $\Gamma$ -filtered with  $\tilde{\mathcal{F}}(\text{Im} \psi) := \{\psi(\mathcal{F}_\gamma M)\}$ ,

$\text{Ker}(\psi) \subseteq M$  is  $\Gamma$ -filtered with  $\tilde{\mathcal{F}}(\text{Ker} \psi) := \{\mathcal{F}_\gamma M \cap \text{Ker} \psi\}$ .

There is a  $\Gamma$ -graded homomorphism of  $\mathcal{G}^{\tilde{\mathcal{F}}}A$ -modules

$$\mathcal{G}^{\tilde{\mathcal{F}}}(\psi): \mathcal{G}^{\tilde{\mathcal{F}}}M = \bigoplus \mathcal{F}_\gamma M / \mathcal{F}_{\gamma+\delta}^* M \rightarrow \mathcal{G}^{\tilde{\mathcal{F}}}N = \bigoplus \tilde{\mathcal{F}}_\gamma N / \tilde{\mathcal{F}}_{\gamma+\delta}^* N,$$

$$\Sigma [m_\gamma] \longmapsto \Sigma [\psi(m_\gamma)]$$

$$g\mathcal{F}: \{\Gamma\text{-filtered rings}\} \longrightarrow \{\Gamma\text{-graded rings}\}$$

$$\{\Gamma\text{-filtered modules / a } \Gamma\text{-filtered ring}\} \longrightarrow \{\Gamma\text{-graded modules / a } \Gamma\text{-graded ring}\}$$

Assume:  $\Gamma$  is a well ordered monoid and  $e$  is the smallest element.

$m \in M \setminus 0$ , the degree of  $m$  (wrt.  $\Gamma, \mathcal{F}, \dots$ ) is  $d(m) := \min \{ \gamma \mid m \in \mathcal{F}_\gamma M \} \in \Gamma$ ,

then the principal symbol of  $m$   $\sigma(m)$  is the nonzero graded

element of degree  $d(m)$  in  $\mathcal{G}_{d(m)}^{\mathcal{F}} M = \mathcal{F}_{d(m)} M / \mathcal{F}_{d(m)+1} M$ .

Note:  $\forall a \in A, m \in M$  either  $\sigma(a)\sigma(m) = \sigma(am)$  or  $\sigma(a)\sigma(m) = 0$ .

Theorem 5: Let  $A$  be a  $\Gamma$ -filtered algebra (with  $\mathcal{F}A$ ).

(a) If  $\{a_\gamma \mid \gamma \in \Gamma\} \subseteq A$  is such, that  $\{\sigma(a_\gamma) \mid \gamma \in \Gamma\}$  is a  $K$ -basis of  $gr^{\mathcal{F}} A$ , then  $\{a_\gamma \mid \gamma \in \Gamma\}$  is a  $K$ -basis of  $A$ .

(b) If  $gr^{\mathcal{F}} A$  is a domain, so is  $A$ .

proof: (b)  $a, b \in A \setminus 0$ ,  $\alpha := \deg(a)$ ,  $\beta := \deg(b)$ , then  $\sigma(a), \sigma(b) \neq 0$   
 $\Rightarrow \sigma(a) \cdot \sigma(b) \neq 0$  in  $gr^{\mathcal{F}} A \xrightarrow{[Note]} \sigma(a \cdot b) = \sigma(a) \cdot \sigma(b) \neq 0 \Rightarrow a \cdot b \neq 0$ .  
 $\sigma(a) \neq 0 \Leftrightarrow a \neq 0$

(a) show that  $\{a_\gamma\}$  is lin. indep. Suppose  $\exists \lambda_\gamma \in K$  such that  
 $b = \sum \lambda_\gamma a_\gamma = 0 \Rightarrow \exists$  subset  $\Lambda$  of indices, s.t.  $\lambda_w \neq 0, w \in \Lambda$   
 and ~~and~~ let  $\gamma :=$  highest degree among the corresponding  $a$ 's.  
 Then the image of  $b$  in  $\mathcal{F}_\gamma A / \mathcal{F}_{\gamma+1} A = gr^{\mathcal{F}} A$  is

$$0 = \sum_{s \in \Lambda} \lambda_s \sigma(a_s) \quad \text{and since } \{\sigma(a_\gamma)\} \text{ is lin. indep. } \Rightarrow \Lambda = \emptyset$$

• The generating property: Let  $a \in A \setminus 0$ ,  $\gamma := \min \{ \alpha \mid a \in \mathcal{F}_\alpha A \} = \deg(a)$ .

Since  $\sigma(a) = \sum_{i \in I} \lambda_i \sigma(a_i) \in \mathcal{F}_\gamma A / \mathcal{F}_{\gamma+1} A$ ,  $\lambda_i \in K \setminus 0, \deg(\sigma(a_i)) = \gamma \quad \forall i \in I$

$$a' := a - \sum_{i \in I} \lambda_i a_i \in \mathcal{F}_{\gamma+1} A$$

$$\sigma(a) = a + \mathcal{F}_{\gamma+1} A = a_\gamma + \mathcal{F}_{\gamma+1} A$$

"part" of  $a$  of degree  $\gamma$

If  $a' = 0$ , we're done.

Otherwise  $\deg(a') < \gamma$  and proceeding <sup>like</sup> the above will terminate in a finite number of steps. (Artinian)  $\square$

Theorem 6: Let  $L \xrightarrow{\varphi} M \xrightarrow{\psi} N$  (\*) be a complex (i.e.  $\psi \circ \varphi = 0$ )

of  $\Gamma$ -filtered modules and morphisms. Then the following are

equivalent: (i) The sequence is exakt ( $\ker \psi = \text{im } \varphi$ ) and  $\varphi, \psi$  are strict.

(ii) The associated sequence of  $gr^{\mathcal{F}} A$ -modules with ass. graded  $gr^{\mathcal{F}} A$ -module-hom's  $gr^{\mathcal{F}} L \xrightarrow{gr^{\mathcal{F}} \varphi} gr^{\mathcal{F}} M \xrightarrow{gr^{\mathcal{F}} \psi} gr^{\mathcal{F}} N$  is exakt.

Proposition 7: (i) If  $M$  is fin. gen. over  $A$ , then there exists a  $\Gamma$ -filtered  $\tilde{\mathcal{F}}M$ , such that  $\text{gr}^{\tilde{\mathcal{F}}}M$  is a fin. gen.  $\text{gr}^{\tilde{\mathcal{F}}}A$ -module.

(ii) Suppose that  $M$  is  $\Gamma$ -filtered via  $\tilde{\mathcal{F}}$ . If  $\text{gr}^{\tilde{\mathcal{F}}}M = \sum_{i \in I} \text{gr}^{\tilde{\mathcal{F}}}A \cdot \sigma(\xi_i)$ , where  $\xi_i \in M$ ,  $\deg(\xi_i) = \gamma_i \in \Gamma$ , then  $M = \sum_{i \in I} A \cdot \xi_i$ , with  $\tilde{\mathcal{F}}_{\gamma} M := \sum_{i \in I} \left( \sum_{\delta_i + \gamma_i = \gamma} \mathcal{F}_{\delta_i} A \right) \cdot \xi_i$ .

In particular, if  $\text{gr}^{\tilde{\mathcal{F}}}M$  is fin. gen., then so is  $M$ .

proof: (i) WLOG  $\{g_1, \dots, g_n\}$  is a minimal gen. set of  $M$ .

$\forall m \in M$ :  $m = \sum_{i=1}^n a_i g_i$ . Let  $\delta_i \in \Gamma$  be such that  $a_i \in \mathcal{F}_{\delta_i} A / \mathcal{F}_{\delta_i^*} A$ , then

$$\forall \gamma \in \Gamma: \tilde{\mathcal{F}}_{\gamma} M := \sum_{i=1}^n \left( \sum_{\delta_i + \gamma_i = \gamma} \mathcal{F}_{\delta_i} A \right) \cdot g_i$$

Define a  $\Gamma$ -filtration on  $M$ , where  $\gamma_i := \deg(g_i)$ . Then

$$(\text{gr}^{\tilde{\mathcal{F}}}M)_{\gamma} = \tilde{\mathcal{F}}_{\gamma} M / \mathcal{F}_{\gamma^*} M = \sum_{i=1}^n \sum_{\delta_i + \gamma_i = \gamma} (\text{gr}^{\tilde{\mathcal{F}}}A)_{\delta_i} \cdot \sigma(g_i)$$

$$\text{gr}^{\tilde{\mathcal{F}}}M = \sum_{i=1}^n \text{gr}^{\tilde{\mathcal{F}}}A \cdot \sigma(g_i)$$

(ii) Homework. □

proof of theorem 6: (ii)  $\Rightarrow$  (i):  $\Gamma, \mathcal{F}$  are fixed.

"exactness": Let  $(\text{gr}^* \Psi)$  be exact. For  $m \in \mathcal{F}_{\gamma} M \setminus \mathcal{F}_{\gamma^*} M$  with  $\Psi(m) = 0$ ,  $(\text{gr}^* \Psi)([m]) = 0$ . Since  $\deg(m) = \gamma$ ,  $[m] \in (\text{gr}^* \Psi)(M)_{\gamma}$ , thus  $[m] = (\text{gr}^* \Psi)(\ell)$  for some  $\ell \in \mathcal{F}_{\gamma} L \setminus \mathcal{F}_{\gamma^*} L$  and  $[m] = [\Psi(\ell)]$ .

$m' := m - \Psi(\ell) \in \mathcal{F}_{\gamma'} M$ ,  $\gamma' < \gamma$ , then  $\Psi(m') = \Psi(m - \Psi(\ell)) = 0$ .

Iterating:  $m'' := m' - \Psi(\ell')$  ...

$\Rightarrow$  After fin. many steps  $m^{(n)} = 0$

$\Rightarrow m = \sum \Psi(\ell^{(i)}) = \Psi(\sum \ell^{(i)}) \in \text{Im}(\Psi)$

$\Rightarrow m \in \text{Im} \Psi|_L = \Psi(L)$  and  $\ker \Psi \subseteq \Psi(L)$ .

"strictness": The case of  $\Psi$  is analogous, we prove it for  $\Psi$ :

$f \in \mathcal{F}_{\gamma} N \cap \Psi(M)$ , with  $f \notin \mathcal{F}_{\gamma^*} N \Rightarrow \exists m \in \mathcal{F}_{\omega} M$  with  $\Psi(m) = f$ .

Since  $\Psi$  is  $\Gamma$ -filtered  $\Rightarrow \Psi(\mathcal{F}_{\omega} M) \subseteq \mathcal{F}_{\omega} N \Rightarrow \omega \geq \gamma$ .

If  $\omega = \gamma$ , we're done, since  $f = \Psi(m) \in \Psi(\mathcal{F}_{\gamma} M)$ .

Otherwise  $\omega > \gamma$  and  $(\text{gr}^* \Psi)([m]) = (\text{gr}^* \Psi)(m + \mathcal{F}_{\omega^*} M) = \Psi(m) + \mathcal{F}_{\omega^*} N$ .

Since  $\omega > \gamma$ , we have  $\Psi(m) \in \mathcal{F}_{\gamma} N \subseteq \mathcal{F}_{\omega^*} N \Rightarrow [\Psi(m)] = 0$ .

The exactness of  $(\text{gr}^* \Psi)$  implies the existence of  $\ell \in \mathcal{F}_{\alpha} L$ ,

s.t.  $[m] = [\Psi(\ell)]$  and the  $\Gamma$ -filteredness of  $\Psi$  implies

$\alpha \geq \omega$ . If  $\alpha > \omega$  then the highest part of  $\ell$  has to be in  $\ker(\Psi)$ .

$\Rightarrow$  we can subtract all kernel parts of  $\ell$  in finitely many

steps arrive to  $l' \in \mathcal{F}_w L \setminus \mathcal{F}_w * L$ . For  $m' = m - \psi(l)$  we have  $\psi(m') = \psi(m) = f$ . By construction:  $m' \in \mathcal{F}_{w'} M$  with  $m' = 0$  or  $w' < w$ . We can proceed by  $w > w' > \dots > \gamma$ .

$$\tilde{m} - m = \psi(l) + \psi(l') + \dots \in \psi(L) \subseteq \ker(\psi).$$

Since  $\psi(m) \neq 0 \Rightarrow \tilde{m} \notin \psi(L)$ . At each step with  $m^{(i)} \neq 0$  we terminate at exactly  $\gamma$ . Hence  $f = \psi(m) = \psi(\tilde{m})$ .  $\square$